

# 2020-21 Annual Report of the Commission of the Canada Energy Regulator





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## **Table of Contents**

Message from the Lead Commissioner
Role of the Commission
Delivering Regulatory Stability During the Pandemic
What the Future Holds
Summary of CER Commission Decisions and Recommendations
Appendix A: Commissioner Biographies
Appendix B: Application Activity in 2020-21
Appendix C: Time Limits
Appendix D: Leave to Open Orders Issued in 2020-21
Appendix E: Administrative Monetary Penalty Reviews
Appendix F: Commission Orders and Directions in Effect in 2020-21
Appendix G: Post-Approval Compliance
Appendix H: Abandonment Funding
Appendix I: Financial Resources Requirements
Appendix J: Acronyms and Definitions

### Note:

The 2020-21 Annual Report of the Commission of the Canada Energy Regulator is one of two Annual Report documents that summarize the Canada Energy Regulator's achievements of the past year. To learn more about the work of the Canada Energy Regulator as a whole, please see the 2020-21 Annual Report of the Canada Energy Regulator

## Message from the Lead Commissioner

On behalf of the Commission, I respectfully submit to the Honourable Seamus O'Regan Jr., Minister of Natural Resources, and provide to Canadians the 2020-21 Annual Report of the Commission of the Canada Energy Regulator (Commission).

The Annual Report tells the story of the first full fiscal year¹ of the Commission and how it works to regulate infrastructure to ensure safe and efficient delivery of energy to Canada and the world, protecting the environment, and recognizing and respecting the rights of the Indigenous peoples of Canada. It covers the activities carried out by the Commission to fulfill its mandate pursuant to the Canadian Energy Regulator Act (CER Act), the National Energy Board Act, the Canada Oil and Gas Operations Act and the Canada Petroleum Resources Act.

The CER's governance model delineates the adjudicative, corporate and operational functions of the organization. The Commission's independence in the exercise of its adjudicative functions is an integral feature of that governance structure and a vital component of the CER's mandate.

This past year will long be remembered as a time that demanded a great deal of innovation, adaptation and tenacity, from all Canadians as well as from the Commission.

During the global COVID-19 pandemic, the Commission demonstrated agility while fulfilling its mandate and responsibilities to Canadians. Taking hearings to a virtual format and adapting the corresponding adjudication processes was no small feat and over the past year, Commissioners and the CER staff that supported them, rose to the challenge. During this period, the Commission adjudicated a wide range of proceedings and did so within established service standards and legislative time limits<sup>2</sup>.



The preamble to the CER Act outlines our commitment to achieving Reconciliation with Indigenous peoples and the Commission acknowledges and is appreciative of the understanding and flexibility shown by all participants during its adjudicative proceedings in 2020-21. For example, because in-person gatherings were not possible during the pandemic, the Commission instead engaged with Elders and Knowledge Keepers through virtual oral Indigenous knowledge sessions, with appropriate ceremony and protocol in place. Our gratitude also extends to all other parties who participated in our proceedings during this difficult year, and we recognize that this may have required overcoming some unique challenges.

<sup>1</sup> Last year's report covered the work of both the outgoing Members of the National Energy Board as well as the incoming CER Commissioners.

<sup>2</sup> Legislated time limits were met for all but one project. In the case of the Trans Mountain Westridge Delivery Line Relocation decision, the time limit was not met as a result of the application's abeyance and concurrent Trans Mountain Reconsideration process.

The CER's new Strategic Plan which went into effect on April 1, 2021 will guide the work of the CER over the next three years. The establishment of a clear path forward for the CER is an important step in ensuring alignment on a shared Vision and Mission and also across four Strategic Priorities namely, Trust and Confidence, Reconciliation, Competitiveness and Data and Digital Innovation. In alignment with the Strategic Plan, the Commission contributed a number of priority systemic improvements in support of its mandate and adjudicative operations, such as new automated reporting to monitor the status of applications and to improve awareness of performance.

During 2020-21, the Commission considered applications regarding pipelines and powerlines, tolls and tariffs, as well as a liquefied natural gas export licence. In keeping with Canadians' expectations of the Commission, those applications were considered using processes which were transparent and accessible, all while adhering to the requirements of natural justice and procedural fairness. Over the course of the year, the Commission issued more than 800 decisions and orders across a wide range of energy projects. In addition, the Commission engaged in ongoing regulatory oversight that takes place after facilities are approved, such as condition compliance for certain pipeline projects and the assessment of financial resource requirements for companies in relation to the abandonment of facilities.

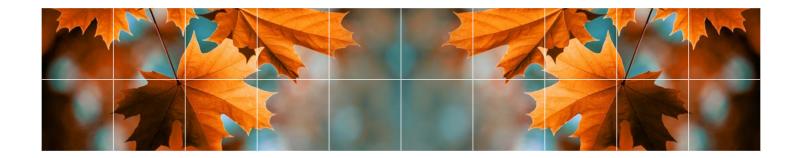
The Commission also adjudicated some of its first applications pursuant to the CER Act during the reporting year. This entailed the Commission having regard, during the assessment of projects, for new factors such as Gender-Based Analysis Plus (GBA+), and the extent to which an application contributes to the government of Canada's climate change commitments.

Amidst the pandemic, the Commission also welcomed and onboarded a new Commissioner in January 2021. Mélanie Chartier, our newest colleague, brings the Commission to a full contingent of seven Commissioners.

In closing, I wish to thank my colleagues for their continued professionalism, hard work, and collegiality. I also wish to acknowledge and thank the CER staff who supported the work of the Commission over the past year: the Commission leans heavily every day on the technical expertise, counsel and management support it receives. The dedication shown by all of these public servants – Commissioners and CER staff – in their service of Canadians is deeply appreciated.

Original signed by Damien A. Côté

Damien A. Côté, Lead Commissioner Canada Energy Regulator



## Role of the Commission

The Commission is an independent entity within the governance structure of the CER, whose primary mandate is to adjudicate in respect of energy projects.

In 2020, the Commission was comprised of six full-time Commissioners appointed by the Governor in Council, including the Lead Commissioner and Deputy Lead Commissioner. In January 2021, a seventh Commissioner was added. For more details on the CER's Commissioners, please refer to Appendix A-Commissioner Biographies.

The Commission renders regulatory decisions as set out by its mandate described in the CER Act and other legislation, as well as any directions of general application with respect to that mandate that it may receive from the Governor in Council. In its adjudicative role, it adheres to the purpose and provisions of the CER Act, recognizing and respecting the rights of Indigenous peoples pursuant to section 35 of the Constitution Act, 1982<sup>3</sup>. As well, the Commission adheres to the requirements pursuant to Part III of the Official Languages Act,<sup>4</sup> the rules of natural justice and relevant jurisprudence.

The Commission regulates pipeline tolls and tariffs under its jurisdiction so that they are just and reasonable and to ensure no unjust discrimination in tolls, service or facilities. Applications and complaints about traffic, tolls and tariffs may be filed with the CER at any time.

The Commission is a court of record with all the powers, rights and privileges vested in a superior court of record with respect to any matters within its jurisdiction. It can adjudicate (including on its own initiative) any matter where a person has done or failed to do anything required by the CER Act and can also inquire into any accident involving a pipeline or other CER-regulated facility.

As a quasi-judicial tribunal, the Commission makes adjudicative decisions and recommendations independently. The Commission's adjudicative independence is a key aspect of the CER Act. Pursuant to the Act, the Board of Directors and the CEO may not provide direction, and the Board of Directors may not provide advice, with respect to any particular decision, order or recommendation of the Commission. While its adjudicative role is independent, the Commission is part of the CER and contributes to the overall effective delivery of its mandate.

The Commission has the power to make orders and prohibitions for the enforcement of its decisions. It may make rules for carrying out its work and managing its internal affairs vis-à-vis adjudication, including rules respecting the powers, duties and functions of Commissioners, its procedures and practices, its sittings and its decisions, orders and recommendations.

<sup>3</sup> Schedule B to the Canada Act 1982, 1982, c. 11 (U.K.).

<sup>4</sup> R.S.C. 1985, c.31 (4th Supp.).



# Delivering Regulatory Stability During the Pandemic

In its first full year in operation, the Commission delivered regulatory stability during the global COVID-19 pandemic. Indeed, no one could have foreseen the impacts the pandemic would have on regulatory organizations like the CER, nor on the adjudicative processes they deliver. The Commission quickly adapted its adjudicative proceedings to deal with this unprecedented and unexpected challenge.

The Commission prioritized keeping people safe by adjusting its processes, replacing in-person hearings with alternative formats – such as written or virtual proceedings – to allow participants to fully adhere to applicable public health measures. Revised and flexible processes allowed the Commission to operate in a fair, efficient, transparent and accessible manner, while also respecting the open-court principle. This allowed the Commission to continue making timely decisions while keeping safe everyone who relied on and participated in its proceedings.

The Commission acknowledges and values the diversity of cultural practices held by Indigenous peoples and in the spirit of Reconciliation, continued its work to support the meaningful participation of Indigenous peoples in its proceedings. Ceremony is an integral part of Commission processes, and so are adaptations to procedures such as providing Indigenous participants the option of being affirmed using an Eagle feather or another sacred object, if preferred, prior to the start of a testimony.

When making decisions pursuant to the CER Act, the Commission considers Indigenous knowledge and the impact of projects on the rights and interests of Indigenous peoples.

The CER Act clearly outlines the requirement for the CER's regulatory system to enhance Canada's global competitiveness. In service of that objective, the Commission delivered regulatory certainty and predictability by providing timely updates on how adjudicative processes would be adjusted in response to the pandemic. Additionally, the Commission made decisions in a timely manner, within established service standards and legislated time limits.

Revised and flexible processes allowed the Commission to operate in a fair, efficient, transparent and accessible manner, while also respecting the open-court principle. The importance and value of maintaining ongoing and strong relationships with other federal, provincial, and territorial regulators about how adjustments were being made nationwide to adjudicative and regulatory processes was highlighted during this time. The Commission not only participated in a regular dialogue amongst regulators about new and ongoing pandemic impacts to processes and related adjustments, but also continued its work of organizing and hosting virtual conferences, webinars, presentations and other educational offerings with CAMPUT, Canada's Energy and Utility Regulators Association, as well as NARUC. the United States' National Association of Regulatory Utility Commissioners, during the pandemic.

In 2020-21, the Commission also identified and advanced priority areas for improvements in the way it operates. For example, the Commission established a Learning System to help facilitate its professional development. It also established quarterly regulatory meetings with senior CER management to provide opportunities for interaction on matters of strategic importance for the CER, outside of specific adjudicative files. These activities helped foster a culture of learning and continuous improvement for the Commission and the organization as a whole. Such initiatives have been integrated into the CER's Strategic Plan in alignment with the rest of the organization.

Over the course of 2020-21, Commissioners adjudicated hundreds of applications for pipelines, powerlines, tolls and tariffs, export and import licences. financial resources assessments and condition compliance matters that span the lifecycle of energy infrastructure. Over the past year, the Commission issued 136 infrastructure decisions and more than 200 decisions related to post-approval matters as explained in Appendix G. This is a significant increase over the infrastructure decisions in the year prior. principally on account of the detailed route hearings and right of entry orders issued on the Trans Mountain Expansion Project. The Commission also released 20 decisions on tolls and tariffs.

Additionally, the Commission issued 575 licences related to the export and import of hydrocarbons. There were 27 decisions issued related to exploration and production. The Commission also granted 30 leave to open orders and then 20 miscellaneous decisions were issued that did not fit into the categories listed above.

A comprehensive list of Commission adjudicative activities is provided in the appendices section of this report.

To learn more about work in 2020-21 of the CFR as a whole, please see the 2020-21 Annual Report of the Canada Energy Regulator.













Infrastructure decisions

Tolls and Tariffs decisions



Export and Import decisions

Exploration and Production decisions

Other decisions

Leave to Open orders



## What the Future Holds

The impacts of the pandemic will be with us for some time; consequently, a priority for the Commission in the coming year will be to ensure that its adjudicative processes remain safe, transparent, accessible and fair to all participants.

In the months ahead, the Commission will focus on enhancing its operations in a way that is consistent with the CER's Strategic Priorities. This will include projects and initiatives related to improvements to the Commission's adjudication processes, its decision and recommendation reports, as well as the processes, systems and tools it employs in fulfillment of its mandate. As a contributor to the CER's journey of Reconciliation, the Commission will continue to seek respectful and effective ways of hearing and considering oral Indigenous knowledge in its proceedings.

The Office of the Secretary of the Commission provides logistical and administrative support to the Commission. The Office was recently restructured to help advance those Commission priorities that are not related to specific adjudicative files but rather systemic in nature, yet still in service of adjudication excellence. The Commission expects that this restructuring will result in enhanced support for the Commission and that will continue to foster collaboration and alignment with the rest of the CER organization.

Over the next year, the Commission will continue to pursue its commitment to exemplary governance. The governance structure of the CER - which consists of a Board of Directors, a staff organization led by the CEO and an independent Commission - requires ongoing attention to ensure all three pillars of the structure work well together to effectively deliver the CER's mandate.

Turning to the external environment, the Commission will continue to monitor a number of evolving policy areas including, for instance, the impact of carbon reduction policies on the energy infrastructure regulated by CER; Canada's journey towards Reconciliation with Indigenous peoples; and the country's progress in its energy transition. While external factors will continue to evolve, the mandate of the Commission will remain the same: working to ensure safe and efficient delivery of energy to Canada and the world, protecting the environment, and recognizing and respecting the rights of the Indigenous peoples of Canada, both now and into the future.



# Summary of CER Commission Decisions and Recommendations

Decisions and Recommendations Issued by the Commission from 1 April 2020 to 31 March 2021 include the following:

Note that REGDOCS files can be found on the CER website (<a href="www.cer-rec.gc.ca">www.cer-rec.gc.ca</a>) by clicking in the top banner: "Applications and hearings" then "View Regulatory Documents". Then enter the REGDOCS File number below "Search by Document Number".

#### Infrastructure:

#### Maritimes and Northeast Pipeline Management Ltd. Abandonment of Deep Panuke Custody Transfer Station

- Abandonments (NEB Act Part V, s. 74(1)(d))
- Abandonment of the Deep Panuke Custody Transfer Station
  - Hearing Order number: MHW-001-2020
- The Commission released its letter decision and order in April 2020 which imposed six conditions
  - Order number: ZO-001-2020
  - REGDOCS File number: [C05902]
- Panel of Commissioners: Kathy Penney, Trena Grimoldby, Mark Watton

## NOVA Gas Transmission Ltd. (NGTL) - Etzikom Abandonment Project

- Abandonments (NEB Act Part V, s. 74(1)(d))
- Abandon 84 km of pipeline, seven meter stations, and associated facilities including valves and sales taps near Medicine Hat, AB
  - Hearing Order number: MHW-006-2019
- The Commission released its letter decision in May 2020
  - REGDOCS File number: [C06388]
- Panel of Commissioners: Damien Côté, Trena Grimoldby, Mark Watton, Stephania Luciuk, Wilma Jacknife

#### **NGTL North Corridor Expansion Project**

- Large Pipeline Infrastructure (NEB Act, Part III, s. 52)
- The proposed Project would transport gas from the Peace River Project Area to growing intra-basin markets located in the North of Bens Area. The Project consists of approximately 81 km of looped pipeline, in three sections, with one compressor station unit addition
  - Hearing Order number: GH-002-2019
- The Commission released its report in September 2020 which recommended 24 section 52 conditions and imposed 23 section 58 conditions
  - REGDOCS File number: [C08154]
- Panel of Commissioners: Stephania Luciuk, Trena Grimoldby, Wilma Jacknife

#### TransQuébec & Maritimes Pipeline Inc. (TQM)

- Large Pipeline Infrastructure (NEB Act, Part III, s. 52)
- Application for approvals to purchase and operate the Sabrevois Assets owned by Energir, L.P., as well as to construct and operate the Bromon Compressor Station and Saint-Basile Interconnect
  - Hearing Order number: GH-001-2020
- The Commission released its letter report and order in October 2020 which imposed 12 section 52 conditions and eight section 58 conditions
  - Order number: MO-030-2020
  - REGDOCS File number: [C09218]
- Panel of Commissioners: Damien Côté, Stephania Luciuk, Trena Grimoldby

#### **NGTL Edson Mainline Expansion Project**

- Large Pipeline Infrastructure (NEB Act, Part III, s. 52)
- The Project would construct and operate approximately 85 km of 1,219 mm (NPS 48) outside diameter natural gas pipeline loops in two sections, and associated facilities
  - Hearing Order number: GH-001-2019
- The Commission released its report in November 2020 which recommended 24 section 52 conditions and imposed 23 section 58 conditions
  - REGDOCS File number: [C09740]
- Panel of Commissioners: Damien Côté, Stephania Luciuk, Wilma Jacknife

#### Westridge Delivery Line Relocation

- Small Pipeline Infrastructure (NEB Act, Part III, s. 58)
- This project would consist of the construction of the relocated and upsized delivery line through a tunnel. Trans Mountain will proceed with the installation of three delivery lines, two of which are authorized through Certificate OC-064, and one of which would be authorized through an exemption order, which is being sought through the submission of this Application.
  - Hearing Order number: MH-048-2018
- The Commission released its letter decision and order in May 2020 which imposed five conditions
  - Order number: XO-004-2020
  - REGDOCS File number: [C06322]
- Panel of Commissioners: Damien Côté, Kathy Penney, Mark Watton

#### **Tolls and Tariffs:**

## NGTL Gas Temporary Service Protocol Tariff Extension

- Tariff extension (CER Act, Part 3, s. 225-240)
- Explorers and Producers Association of Canada (EPAC) application to extend the NGTL Temporary Service Protocol (TSP)
- The Commission released its letter decision with reasons and order in March 2021
  - Order number: TG-001-2021
  - REGDOCS File number: [C12183]
- Panel of Commissioners: Damien Côté, Mark Watton, Stephania Luciuk

#### **Export and Import:**

## Altagas Long-Term License Application to Export Propane

- Export or Import Licenses (CER Act, Part 7, s. 344)
- 25-year Licence to export propane
- The Commission released its letter decision in August 2020 which imposed five conditions
  - REGDOCS File number: [C08015]
- Panel of Commissioners: Mark Watton, Trena Grimoldby, Wilma Jacknife

Including the decisions and recommendations of the hearings listed above, the Commission has issued:

- 136 Infrastructure decisions:
- 20 Tolls and Tariffs decisions;
- 575 Export and Import decisions;
- 27 Exploration and Production decisions; and
- 20 Other decisions.

For a breakdown of these decisions, please see Appendix B.

#### Powers of the Board/Commission and Variances:

#### **TMX Notice of Motion and Constitutional Question**

- Powers of the Board/Commission and Variances (CER Act, Part 1, s. 32, s. 34, Part 6, s. 313)
- Request for relief from condition three to deem a tree clearing bylaw in Burnaby invalid
  - Hearing Order number: MH-001-2021
- The Commission released its reasons for decision in February 2021
  - Order number: MO-002-2021
  - REGDOCS File number: [C11674]
- Panel of Commissioners: Damien Côté, Kathy Penney, Mark Watton

## Trans-Northern Pipelines Inc. (TNPI) Application for s.21 review of National Energy Board Orders

- Powers of the Board/Commission and Variances (NEB Act, Part I, s. 21)
- Application from TNPI to review a previously approved pipeline relocation due to the company not properly notifying a landowner in the original application
  - Hearing Order number: MH-009-2019
- The Commission released its letter decision in May 2020
  - REGDOCS File number: [C06137]
- Panel of Commissioners: Full Commission

# Appendix A: Commissioner Biographies



#### Damien A. Côté, Lead Commissioner

Damien A. Côté served as a temporary Member of the National Energy Board since October 2016 (reappointed in April 2019). He has a wealth of expertise related to Indigenous and regulatory law and more than seven years of senior executive leadership. Prior to joining the organization, he worked for the Department of Justice Canada and the Public Prosecution Service of Canada, both in Iqaluit (Nunavut). He then served as the Executive Director of the Nunavut Water Board in Gjoa Haven (Nunavut), and as Chief Operating Officer of the Inuvialuit Regional Corporation in Inuvik (Northwest Territories). Prior to his appointment as Lead Commissioner, he served briefly as a Member of the Immigration and Refugee Board of Canada. Mr. Côté holds a Juris Doctor and a Licentiate of Laws from the University of Ottawa, a Master of Arts (Economics) from the University of Toronto, as well as a Bachelor of Engineering (Environmental) and a Bachelor of Arts (Economics) from Carleton University.



#### Kathy Penney, Deputy Lead Commissioner

Kathy Penney was a permanent member of the Canadian Nuclear Safety Commission until her appointment as a Commissioner. She has over 25 years of regulatory, environmental, health and safety (HSE) experience in the public and private sectors. Ms. Penney has expertise in environmental assessments, HSE assurance and compliance processes, quasi-judicial and federal government project hearings, community consultation, and engagement with Indigenous peoples. Her career includes roles with Jacques Whitford, in Newfoundland and Labrador and in Western Canada, and with Royal Dutch Shell, both in Canada and in Australia. Recently she was on the Assessment Review Board for the Rocky View County. She holds a Master of Science from the University of British Columbia and a Bachelor of Science from the University of Toronto. Ms. Penney is a Pearson College scholar and holds an Executive Management Certificate from Queen's University.



#### Stephania Luciuk, Commissioner

Stephania Luciuk was appointed as a Commissioner in 2019. Prior to her appointment, Ms. Luciuk was in legal practice for over 20 years, with extensive experience in the energy sector, serving as in-house counsel at Imperial Oil Limited and Canadian Oil Sands Limited and in private practice with Macleod Dixon and Fasken Martineau DuMoulin. Her legal practice has spanned regulatory, commercial and environmental work as well as engagement with Indigenous peoples related to conventional/ unconventional oil and gas development and pipelines. In 2017, Ms. Luciuk was appointed as an assistant professor in the Bissett School of Business at Mount Royal University. She also served part-time as a commissioner of the Appeals Commission for Alberta Workers' Compensation and as a mediator for the Provincial Court of Alberta. She is currently the CER representative to NARUC (the National Association of Regulatory Utility Commissioners). Ms. Luciuk holds a Juris Doctor from Osgoode Hall Law School at York University and a Master of Laws in international environmental law, focused on freshwater protection, from Dalhousie University.



#### Wilma Jacknife, Commissioner

Wilma Jacknife served as a temporary member of the National Energy Board until December, 2018. She has more than 20 years of experience in practicing law, both in private practice and as legal counsel for Cold Lake First Nation in Alberta. She specializes in First Nations governance and law-making, consultation and negotiation of impact benefits agreements, business development, administrative law and employment and estates law. Ms. Jacknife also has participated in joint task forces to develop legislative frameworks for First Nations in Canada (*Specific Claims Tribunal Act*, Indian Oil and Gas Act and regulations). Ms. Jacknife holds a Doctor of Juridical Science in Indigenous Peoples Law and Policy and a Master of Laws in Indigenous Peoples Law and Policy from the University of Arizona - College of Law and a Bachelor of Laws from the University of British Columbia and two Bachelor of Art degrees from the University of Alberta.



#### Trena Grimoldby, Commissioner

Trena Grimoldby was appointed as a Commissioner in 2019. She is a lawyer and an adjudicator. Prior to her appointment as Commissioner, she was a Public Chairperson at the Insurance Councils Appeal Board of Alberta (ICAB). She has also previously served as in-house counsel to two multi-national energy companies (Shell Canada and PETRONAS Canada), a midstream energy company (Pembina Pipelines Ltd.), the provincial oil and gas regulator in Alberta (the Alberta Energy Regulator (AER)), and in a private practice setting. She is the CER's representative at CAMPUT (the Association of Canada's Energy and Utility Regulators), where she is a member of the Executive Committee, Chair of the Regulatory Affairs Committee and Lead of the Women in Energy Community of Interest. She holds a Bachelor of Laws from the University of Alberta and a Bachelor of Arts with a specialization in English from the University of Alberta.



#### Mark Watton, Commissioner

Mark Watton has extensive experience in regulatory law and public policy. He was first called to the bar in Ontario and practiced as a litigator in the Toronto office of Fasken Martineau DuMoulin. He relocated to Calgary to join the National Energy Board where he was legal counsel for seven years, advising on multiple major project applications. Before his appointment as a Commissioner with the Canada Energy Regulator, he held the position of Senior Legal Counsel with TC Energy. He also worked in executive and policy advisory roles for numerous cabinet ministers in several federal government departments, and in the office of the Prime Minister. Mr. Watton holds an LL.B. from Dalhousie University and a Bachelor of Social Sciences (Political Science) from the University of Ottawa.



#### Mélanie Chartier, Commissioner

Mélanie Chartier is a lawyer, with more than 20 years of experience in a variety of areas, including aboriginal, environmental and administrative law, having practiced primarily with the Department of Justice. Ms. Chartier also served as a Member of the Immigration and Refugee Board of Canada from 2016 to 2019. Most recently, Ms. Chartier served as Crown Counsel at the Public Prosecution Service of Canada where she prosecuted regulatory offences. She is a passionate advocate for official languages and has occupied various roles promoting official languages within the federal public service as well as in her community. Ms. Chartier holds a Bachelor of Laws (civil) from Laval University, a Certificate of Qualification in common law from the National Committee on Accreditation, and a Master of Laws from the University of British Columbia, focused on the Crown's duty to consult with Indigenous peoples.

# Appendix B: Application Activity in 2020-21

### 2020-21 Application Activity

The following table includes those applications that are subject to a routine application evaluation process (where no one other than the applicant has expressed interest in providing input) and applications where the CER has established a public hearing process for receiving input from people other than the applicant as part of its evaluation process.

An application under the NEB Act or CER Act may be submitted with requests under several parts of the NEB Act or CER Act or Regulations. Each application submitted is counted only once in the table as received and only once as having a decision or recommendation issued.

#### Abbreviations:

Canada Energy Regulator (CER)

National Energy Board (NEB)

Canadian Energy Regulator Act (CER Act), S.C. 2019, c.28, s.10

National Energy Board Act (NEB Act), R.S.C., 1985, c. N-7, Repealed (2019, c.28, s.44)

National Energy Board Act Part VI Regulations (Oil and Gas) Regulations (Part VI), SOR/96-244

Canadian Energy Regulator Onshore Pipeline Regulations (OPR), SOR/99-294

National Energy Board Onshore Pipeline Regulations (OPR), SOR/99-294

Canada Oil and Gas Operations Act (COGOA), R.S.C., 1985, c.O-7

Canada Oil and Gas Drilling and Productions Regulations (D&P Regs), SOR/2009-315

Canada Petroleum Resources Act (CPRA), R.S.C., 1985, c. 36 (2nd Supp.)

Canada Oil and Gas Geophysical Operations Regulations (GO Regs), SOR/96-117

The two tables below show the application activity for both the NEB and CER for the fiscal year 2020-21.

Table B.1 (Summary of Application Activity in 2020-21) is a high level summary representation of the information in table B.2. It shows the grand total of all application activity.

Table B.2 (Detailed Application Activity in 2020-21) provides a detailed listing of all applications received, as well as, decisions and recommendations issued in 2020-21.

#### Table B.1 – Summary of Application Activity in 2020-21:

	Number of Applica	ations Received	Number of Decisions or Recommendations issued			
Application Type	2020-21	2019-20	2020-21	2019–20		
	2020-21	2019-20	CER	NEB <sup>5</sup>	CER <sup>6</sup>	
Infrastructure Applications (total)	156	88	136		80	
NEB Act		41	13	40	31	
CER Act	156	47	123	N/A	9	
Tolls and Tariffs Applications (total)	18	32	20		28	
NEB Act		15	1	14	5	
CER Act	18	17	19	N/A	9	
Exports & Imports Applications (total)	572	600	575		602	
NEB Act	537	583	540	109	482	
CER Act	35	17	35	N/A	11	
Exploration & Production (total)	28	40	27		40	
CPRA, COGOA, OGOA, etc <sup>7</sup> .	28	40	27	9	31	
Other (total)	28	36	20		28	
NEB Act	N/A	11	4	12	7	
CER Act	28	25	16	N/A	9	
Grand Total	802	796	778		778	
NEB Act	537	650	558	175	525	
CER Act	237	106	193	N/A	38	
Exploration & Production	28	40	27	9	31	

<sup>5</sup> The sub-heading NEB contains all decisions or recommendations issued by the NEB in 2019-20.

<sup>6</sup> The sub-heading CER contains all decisions or recommendations issued by the CER in 2019-20.

<sup>7</sup> Etc.- includes all regulations associated with exploration and production- Canada Oil and Gas Drilling and Productions Regulation, as well as Canada Oil and Gas Geophysical Operations Regulations.

### Table B.2-Detailed Application Activity in 2020-21:

	Number o	f Application	ons Received	Number of Decisions or Recommendations Issued				
Application Type	0000 01	0010 00	5 yr. Annual	2020-21	2019-20		5 yr. Annual	
	2020-21	2019-20	Average (2015-20)	CER	NEB <sup>8</sup>	CER <sup>9</sup>	Average (2015-20)	
Infrastructure Applications				,		,		
Plans, Profiles and Books of Reference/Detailed Route (NEB Act, Part III, s. 33, 34, 35, 36)	0	1	1.4	2	0	3	6.0	
(CER Act, Part 3, s.199 (1-4), s.201 (1-4), s.202 (1-5), s.203(1-2))	1	1	0.2	6	N/A	3	0.6	
Further Plans or Deviations (NEB Act, Part III, s. 44, 45)	0	1	1.8	0	1	0	2.8	
(CER Act, Part 3, s.200, s.211(1),(2),(3))	11	1	0.2	6	N/A	1	0.2	
Large Pipeline Infrastructure (NEB Act, Part III, s. 52, s. 53)	0	3	1.4	3	0	1	1.6	
(CER Act, Part 3, s.183(2), (3) (a),(b),(c), s.184)	1	0	0.0	0	N/A	0	0.0	
Small Pipeline Infrastructure (NEB Act Part III, s. 58)	0	14	36.8	4	14	11	36.0	
(CER Act, Part 3, s.214(1-9))	14	18	3.6	22	N/A	3	0.6	
Power Line Permits (NEB Act, Part III, s. 58.11)	0	0	0.6	0	0	0	0.4	
(CER Act, Part 4, s.248)	0	1	0.2	0	N/A	0	0.0	
Power Line Certificates (NEB Act, Part III, s. 58.16)	0	0	0.4	0	0	0	0.4	
(CER Act, Part 4, s. 262(1))	0	0	0.0	0	N/A	0	0.0	
Sales and Transfers (NEB Act, Part V, s. 74(1)(a), s. 74(1)(b), s. 74(1)(c))	0	3	8.8	2	6	1	8.2	
(CER Act, Part 3, s.181 1 (a),(b),(c))	9	0	0.0	3	N/A	0	0.0	
Abandonments Pipeline (NEB Act, Part V, s. 74 (1)(d))	0	2	5.4	2	6	3	5.0	
(CER Act, Part 3, s.241(1-5))	7	1	0.2	5	N/A	0	0.0	

<sup>8</sup> The sub-heading NEB contains all decisions or recommendations issued by the NEB in 2019-20.

<sup>9</sup> The sub-heading CER contains all decisions or recommendations issued by the CER in 2019-20.

	Number o	f Application	ons Received	Number of Decisions or Recommendations Issued			
Application Type	2020-21	2019-20	5 yr. Annual	2020-21	2019-20		5 yr. Annual
	2020-21 2019-20		Average (2015-20)	CER	NEB <sup>8</sup>	CER <sup>9</sup>	Average (2015-20)
Abandonments Power Line (NEB Act, Part III.1, s. 58.34 (1)(2))	0	0	0.2	0	0	0	0.0
(CER Act, Part 4, s.277 (1) (2))	0	0	0.0	0	N/A	0	0.0
Crossings/Damage Prevention (NEB Act, Part V, s. 81, s. 112)	0	1	0.2	0	0	1	0.8
(CER Act, Part 6, s.335,(1-10), s.338(1-4))	0	0	0.0	0	N/A	0	0.0
Right of Entry and Construction over other Utility Lines (NEB Act, Part V, s.104 and 108)	0	1	4.2	0	0	0	1.0
(CER Act, Part 3, s.217(1-6), Part 6, s.324(1-2))	107	20	4.0	74	N/A	2	0.4
OPR Applications: Change of Service, Deactivation, Reactivation, Decommissioning (OPR, Part VI, s. 43, 44, 45, 45.1)	6	9	21.6	7	13	1	20.6
Substituted Service Regulations Applications (ss 3(1))	0	11	4.4	0	0	10	4.2
Grand Total NEB Act & CER Act Infrastructure Applications	156	88	95.6	136		80	88.8
Total NEB Act Infrastructure Applications	0	41	61.2	13		71	62.2
Total CER Act Infrastructure Applications	156	47	34.4	123		9	26.6
Tolls and Tariffs Applications							
<b>Tolls and Tariffs</b> (NEB Act, Part IV, s. 59, s. 60, s. 62, s. 63, s. 64, s.65, s. 71)	0	15	23.4	1	14	5	23.0
(CER Act, Part 3, s.226, s.227, s.229(1-2), s.230, s.231(1), s.232(1), s.233, s.239(1-3))	18	17	3.4	19	N/A	9	1.8
Grand Total Tolls and Tariffs	18	32	26.8	20		28	24.8
Total NEB Act Tolls and Tariffs	0	15	23.4	1		19	23.0
Total CER Act Tolls and Tariffs	18	17	3.4	19		9	1.8

	Number o	of Application	ons Received	Number of Decisions or Recommendations Issued			
Application Type	0000 04	0046.05	5 yr. Annual	2020-21	2019-20		5 yr. Annual
	2020-21	2019-20	Average (2015-20)	CER	NEB <sup>8</sup>	CER <sup>9</sup>	Average (2015-20)
Exports & Imports Application	ns			,		,	
Oil and Gas short-term orders (NEB Act, Part I, s. 21 (1); NEB Act, Part VI (Oil and Gas) Regulations: Part I, ss 6(3); Part II, s 15 or 22; Part III, s. 28)	537	576	537.2	540	101	475	537.4
(CER Act, Part 1, s.69(1-3))	10	2	0.4	11	N/A	2	0.4
Electricity Export Permits (NEB Act, Part I, s.21, 21.2 and Part VI. s.119.03, and 119.093)	0	6	16.4	0	7	5	16.4
(CER Act, Part 1, s.69 (1-3), Part 7, Division 2, s.356 (permit issuance), s. 365(1) (variation or transfer), and s.366 (revocation))	24	14	2.8	22	N/A	9	1.8
Long-term licenses (NEB Act, ss. 119(3); Part I, s. 21, s. 21. (1); Part VI, s. 117 (1))	0	1	5.2	0	1	2	9.0
(CER Act, Part 1, s.69(1-3), Part 7, Division 1, s.344(1), s.348(1), s.349(2), s.351(1-2))	1	1	0.2	2	N/A	0	0.0
Grand Total Exports & Imports	572	600	562.2	575		602	565.0
Total NEB Act Exports & Imports	537	583	558.8	540		591	562.8
Total CER Act Exports & Imports	35	17	3.4	35		11	2.2
Exploration & Production							
Applications to drill a well (COGOA D&P Regs s. 10-13)	0	0	0.0	0	0	0	0.0
Applications to alter the condition of a well (COGOA D&P Regs s. 10, 12, 13)	27	40	20.6	27	9	31	20.4
Geological and geophysical applications (COGOA para 5(1)(b) and GO Regs s. 3)	0	0	0.2	0	0	0	0.2
Significant Discovery Applications on frontier lands (CPRA s. 28)	0	0	0.0	0	0	0	0.0

	Number o	f Application	ons Received	Number of Decisions or Recommendations Issued			
Application Type	2020 21	2010 20	5 yr. Annual	2020-21	2019-20		5 yr. Annual
	2020-21	2019-20	Average (2015-20)	CER	NEB <sup>8</sup>	CER <sup>9</sup>	Average (2015-20)
Commercial Discovery Applications on frontier lands (CPRA s. 35)	0	0	0.0	0	0	0	0.0
NWT OGOA Applications (all)	1	0	0.4	0	0	0	0.4
Total Exploration & Production	28	40	21.2	27		40	21.0
Other							
Powers of the Board and Variances (NEB Act, Part I, s. 12-13, 21)	0	11	23.6	4	12	7	19.0
(CER Act, Part 1, s.32, s.34, s.69, Part 3, s.190, Part 4, s.280, Part 6, s.313)	24	23	4.6	16	N/A	9	1.8
Compensation (NEB Act, Part III, s. 48.35 (1), Part V, s. 88 (1))	0	0	0.0	0	0	0	0.0
(CER Act, Part 2, s.160, Part 6, s.327)	4	2	0.4	2	N/A	0	0.0
Grand Total Other	28	36	28.6	20		28	20.8
Total NEB Act Other	0	11	23.6	4		19	19.0
Total CER Act Other	28	25	5.0	16		9	1.8
Grand Total All Applications & Decisions/ Recommendations	802	796	734.4	778		778	720.4
Total NEB Act All Applications & Decisions/ Recommendations	537	650	693.0	565		700	691.8
Total CER Act All Applications & Decisions/ Recommendations	237	106	20.2	186		38	7.6
Total Exploration & Production All Applications & Decisions/Recommendations	28	40	21.2	27		40	21.0

# Appendix C: Time Limits

### 2020-21 Time Limits

The following table shows applications which were subject to time limits for processing as per the CER Act. All time limits were met in 2020-21 except for one application.

Under the CER Act, the Lead Commissioner must set a time limit for certain types of applications. The time limit must not exceed the maximum number of days indicated in the CER Act. The Commission must complete its assessment and make its recommendation or decision within this time limit. The standard time limits set by the Lead Commissioner can be found on the CER website<sup>10</sup>.

#### Applications processed by the CER subject to time limits:

Legislation	Application Type	Time Limit	Application	Days from Completeness to Decision Issued	Months from Completeness to Decision Issued	Decision	Date of Decision
CER Act s.214	Small Pipeline Infrastructure - Category B	210 days from application complete	NOVA Gas Transmission s. 214 application to construct and operate - Clearwater Compressor Station C7 Unit Addition	73	2	<u>C05584</u>	2020-04-02
CER Act s.214	Small Pipeline Infrastructure - Category B	210 days from application complete	Enbridge Pipelines Inc - Kinder Morgan Expansion Project	52	1	<u>C05605</u>	2020-04-02
CER Act s.214	Small Pipeline Infrastructure - Category B	210 days from application complete	Express Pipeline Ltd Express Capacity Expansion Project- Line 40A	64	2	C05742	2020-04-09

<sup>10 &</sup>lt;a href="https://www.cer-rec.gc.ca/bts/whwr/gvrnnc/nbsrvcstndrd/index-eng.html">https://www.cer-rec.gc.ca/bts/whwr/gvrnnc/nbsrvcstndrd/index-eng.html</a>

Legislation	Application Type	Time Limit	Application	Days from Completeness to Decision Issued	Months from Completeness to Decision Issued	Decision	Date of Decision
CER Act s.214	Small Pipeline Infrastructure - Category C	300 days from application complete	TransCanada PipeLines Limited s.214 application to construct and operate - Station 130 C5 Compressor Station Unit Addition	89	2	<u>C05797</u>	2020-04-20
CER Act s.214	Small Pipeline Infrastructure - Category B	210 days from application complete	NOVA Gas Transmission Ltd. application to construct and operate - Dawson Creek No. 2 Receipt Meter Station	70	2	<u>C05820</u>	2020-04-21
CER Act s.214	Small Pipeline Infrastructure - Category B	210 days from application complete	TransCanada PipeLines Limited application to construct and operate - Vineland Sales Meter Station Upgrade	49	1	C06232	2020-05-11
CER Act s.214	Small Pipeline Infrastructure - Category B	210 days from application complete	NOVA Gas Transmission Ltd. application to construct and operate - NPS 16 Mildred Lake North Lateral Relocation	71	2	C06268	2020-05-11
CER Act s.214	Small Pipeline Infrastructure - Category C	300 days from application complete	Westcoast Energy Inc. s.214 application to construct and operate - CS-1 Segregation Project	110	3	C06252	2020-05-12

Legislation	Application Type	Time Limit	Application	Days from Completeness to Decision Issued	Months from Completeness to Decision Issued	Decision	Date of Decision
CER Act s.214	Small Pipeline Infrastructure - Category B	210 days from application complete	Trans Mountain Pipeline ULC - Grand Rapids Pipeline Metering Connection	58	1	C06309	2020-05-13
NEB Act s.58	Small Pipeline Infrastructure	15 months	Trans Mountain Pipeline ULC s.58 application - Westridge Delivery Line Relocation (HEARING)	72811	23	C06322	2020-05-15
CER Act s.214	Small Pipeline Infrastructure - Category B	210 days from application complete	Foothills Pipe Lines Ltd. application to construct and operate - Shaunavon Sales Meter Station	20	0	C06635	2020-06-02
CER Act s.214	Small Pipeline Infrastructure - Category C	300 days from application complete	Trans Mountain Pipeline ULC - Coquihalla Crossing No. 15 and 16 Replacement Project	112	3	C07010	2020-06-25
CER Act s.214	Small Pipeline Infrastructure - Category C	300 days from application complete	TransCanada PipeLines Limited s.214 application to construct and operate - Station 148 E1 Unit Addition	95	3	C07207	2020-07-07

<sup>11</sup> The time limit was not met as a result of the application's abeyance and concurrent Trans Mountain Reconsideration process.

Legislation	Application Type	Time Limit	Application	Days from Completeness to Decision Issued	Months from Completeness to Decision Issued	Decision	Date of Decision
CER Act s.214	Small Pipeline Infrastructure - Category C	300 days from application complete	Trans Québec and Maritimes Pipeline Inc. application to construct and operate - Blainville Compressor Station and East Hereford Electrical Upgrade	92	3	C07273	2020-07-10
NEB Act s.58	Small Pipeline Infrastructure - Category C	300 days from application complete	NOVA Gas Transmission Ltd. s.58 application to construct and operate - Saddle Lake Lateral Loop (Cold Lake Section) Project	144	4	C07372	2020-07-17
NEB Act s.58	Small Pipeline Infrastructure - Category C	300 days from application complete	Many Island Pipe Lines (Canada) Limited s.58 application to construct and operate - Pierceland Supply Project	120	3	C07461	2020-07-17
CER Act s.214	Small Pipeline Infrastructure - Category B	210 days from application complete	Westcoast Energy Inc application to construct and Operate- CS5 Station Bypass Piping Replacement Project	53	1	C07919	2020-08-17
CER Act s.214	Small Pipeline Infrastructure - Category B	210 days from application complete	TransCanada PipeLines Limited - Nipigon South Sales Meter Station	70	2	<u>C07971</u>	2020-08-19

Legislation	Application Type	Time Limit	Application	Days from Completeness to Decision Issued	Months from Completeness to Decision Issued	Decision	Date of Decision
CER Act s.214	Small Pipeline Infrastructure - Category B	210 days from application complete	Many Islands Pipe Lines (Canada) Limited - s.214 application for the Shaunavon Interconnect Project to construct a new metering facility and a non-sour natural gas line	62	2	<u>C07969</u>	2020-08-19
CER Act s.344	Export or Import Licences	6 months	AltaGas LPG General Partner Inc. on behalf of AltaGas LPG Limited Partnership Application for a licence to Export Propane (HEARING)	151	4	C08015	2020-08-21
NEB Act s.52	Large Pipeline Infrastructure	15 months	NOVA Gas Transmission Ltd. s.52 application - North Corridor Expansion Project (HEARING)	374	12	C08154	2020-09-03
CER Act s.214	Small Pipeline Infrastructure - Category C	300 days from application complete	Foothills Pipe lines (South BC) Ltd Zone 8 West Path Delivery 2022 Project	77	2	C09197	2020-10-28
NEB Act s.52	Large Pipeline Infrastructure	15 months	Application for the TQM Reinforcement and Asset Purchase (HEARING)	323	10	<u>C09218</u>	2020-10-29

Legislation	Application Type	Time Limit	Application	Days from Completeness to Decision Issued	Months from Completeness to Decision Issued	Decision	Date of Decision
CER Act s.214	Small Pipeline Infrastructure - Category B	210 days from application complete	Westcoast Energy Inc., carrying on business as Spectra Energy Transmission - application for the Silverstar Project to add metering capability at its existing Sunset Creek Compressor Station (CS-16).	75	2	<u>C09317</u>	2020-11-03
CER Act s.214	Small Pipeline Infrastructure - Category A	130 days from application complete	County of Vermilion River No. 24 Gas Utility application to construct and operate - Reilly Lake Grain Dryer Project	28	0	<u>C09472</u>	2020-11-05
NEB Act s.52	Large Pipeline Infrastructure	15 months	NOVA Gas Transmission Ltd. s.52 application - Edson Mainline Expansion Project (HEARING)	451	14	C09740	2020-11-19
NEB Act s.58	Small Pipeline Infrastructure - Category C	300 days from application complete	Trans Canada PipeLines Limited s.58 application to construct and operate - Station 802 B3 Unit Addition	56	1	C10467	2020-12-16
CER Act s.214	Small Pipeline Infrastructure - Category B	210 days from application complete	Zibi Community Utility Project	101	3	<u>C11600</u>	2021-02-22

Legislation	Application Type	Time Limit	Application	Days from Completeness to Decision Issued	Months from Completeness to Decision Issued	Decision	Date of Decision
CER Act s.214	Small Pipeline Infrastructure - Category A	130 days from application complete	NOVA Gas Transmission Ltd. application to construct and operate - Gundy West No. 2 Receipt Meter Station	31	1	C11963	2021-03-12
CER Act s.214	Small Pipeline Infrastructure - Category A	130 days from application complete	NOVA Gas Transmission application to construct and operate - Old Alaska No. 2 Receipt Meter Station	34	1	C11994	2021-03-15

# Appendix D: Leave to Open Orders Issued in 2020-21

Under the CER Act, a company requires permission from the Commission before opening a pipeline or a section of pipeline for the transmission of hydrocarbons or any other commodity.

The Commission may grant leave under section 213 of the CER Act (formerly section 47 of the NEB Act) if satisfied that the pipeline can be safely opened for transmission. Applications for this leave are made after approved construction is complete (on the pipeline or a portion of it) and the company can demonstrate that the facility can begin operations safely.

The table below shows the number of Leave to Open Orders granted in the 2020-21 fiscal year, by company.

Company/Project	CER
Enbridge Pipelines Inc.	1 (Total)
Line 5 St. Clair River Replacement Project	1
Many Islands Pipe Lines	2 (Total)
Norquay Benito Pipeline Segment Replacement	1
Shaunavon Interconnect Project	1
NOVA Gas Transmission Ltd.	18 (Total)
Buffalo Creek B3 Compressor Station Unit Addition	1
Dawson Creek No. 2 Receipt Meter Station	1
Goodfish A2 Compressor Station Unit Addition	1
Grande Prairie Mainline Loop No. 2 (Hornbeck and Bronson Sections)	2
Grande Prairie Mainline Loop No. 3 (Elmworth Section 1) - Clearwater West Expansion Project	3
North Central Corridor Loop (North Star Section 1)	1
North Montney Project - Kahta Section	1
North Montney Project - Receipt Meter Stations (Aitken Creek West No. 2, Blair Creek, and Kobes)	3
North Path Delivery Project - Meikle River Compressor Station Units C and D Modifications	1
Smoky River Sales Meter Station	1
West Path Delivery Project - Burton Creek Compressor Station Unit Addition	2
Wolf Lake Compressor Station Unit Addition - (Wolf Lake CS) - Clearwater West Expansion Project	1

Company/Project	CER
TransCanada Keystone Pipeline GP Ltd.	4 (Total)
Partial Leave to Opens at Keystone Hardisty Complex	4
TransCanada PipeLines Limited	2 (Total)
Sales Meter Stations Upgrade (Brandon and Vineland)	2
Trans-Northern Pipelines Inc.	1 (Total)
Credit River Pipeline Relocation	1
Westcoast Energy Inc.	2 (Total)
T-South Expansion - CS-4A Compressor Station Upgrade Project	1
T-South Expansion - CS-7 Compressor Station	1
Grand Total	30

# Appendix E: Administrative Monetary Penalty Reviews

## Administrative Monetary Penalty Reviews (AMPS):

AMPs are issued by persons authorized by the CEO pursuant to section 116 of the CER Act, according to the Administrative Monetary Penalties Regulations.<sup>12</sup>

A person who has been served with notice of an AMP can request a review from the Commission, which must conduct the review or cause it to be conducted, pursuant to sections 125-129 of the CER Act.

The Commission did not review any AMPs in the period from 1 April 2020 to 31 March 2021. The last review conducted was in June 2019.

There were three AMPs issued in the period from 1 April 2020 to 31 March 2021.

Reference Number	Last Updated	Recipient	Region/ Facility	Description	Penalty Amount
AMP-001-2021	2021-03-12	Trans- Northern Pipelines Inc.	Ontario	Failure to have an adequate Environmental Protection Program, particularly related to contaminated sites, as required by section 48 of the Onshore Pipeline Regulations	\$40,000
AMP-002-2020	2020-11-12	Westcoast Energy Inc.	Near Prince George, BC	Violation of ss. 4(2) of the Onshore Pipeline Regulations – failure to ensure that the pipeline is operated in accordance with the programs, manuals, procedures, measures and plans developed and implemented by the company	\$40,000
AMP-001-2020	2020-06-25	Trans- Northern Pipelines Inc.	Oakville, Ontario	s. 29 of the Onshore Pipeline Regulations  – Failure to contract for services as prescribed	\$40,000

# Appendix F: Commission Orders and Directions in Effect in 2020-21

The following table summarizes Commission Orders (sometimes referred to as Safety Orders) and Directions that were active in 2020-21. The Commission can issue these Orders pursuant to section 95 of the CER Act, to ensure the safety and security of persons and facilities and/or for the protection of property or the environment.

Many Orders will remain in effect for several years, in which case CER staff will continue to monitor compliance with the Order. The existence of an Order in many cases establishes/imposes safe thresholds for continued operation (e.g., pressure restrictions). It is necessary to keep these restrictions in place until such time the Commission is of the opinion that the company has sufficiently addressed underlying issues.

#### Commission Orders and Directions active in 2020-21

Order Number	Last Updated	Company	Region	Description/ Reason for Order/ Direction	Resulting Action/Status
Letter Decision	2020-07-22	Trans- Northern Pipelines Inc.	Canada	Trans-Northern Pipelines Inc requested that certain information regarding contaminated site be kept confidential.	Commission's decision was to deny TNPI's request for confidential treatment of Historical Spills, Leaks and Damage Incident Inventory Information.
<u>SG-C293-01-</u> <u>2011</u>	2012-09-18	Centra Transmission Holdings Inc	Sections through Ontario, Fort Francis	Non-compliances resulting from compliance verification activity	Pressure restriction of 80% of the Maximum Operating Pressure (MOP)  Status 31 March 2020:  Pressure restriction continues while the company is planning a robotic inline inspection of two non-piggable river crossings in 2021.

Order Number	Last Updated	Company	Region	Description/ Reason for Order/ Direction	Resulting Action/Status
SG-N081-005- 2013	2013-12-20	NOVA Gas Transmission Ltd	Ukalta Lateral	Issued due to Incident # 2013-141, leak on Ukalta Lateral line	Pressure restriction not exceeding 6,570 kPa, must perform weekly above ground leak detection surveys, inline inspection validation
					Pressure restriction continues while the company assesses the business need for continued operation of the lateral pipeline
SG-T211-002- 2014	2020-11-03	TransCanada PipeLines Limited	Canadian Mainline Line 100-4 near Burstall	Incident 2013-150 leak, which occurred on the TCPL Canadian Mainline Line near Burstall  Amended by Order AO-001- SG-T211-002-2014 on 17 April 2014 to grant an extension for condition 2.	Operate the section of Line 100-4 at an operating pressure not exceeding 3,500 kPa, until such time as the Commission may approve an increase in operating pressure  Status 31 March 2021:  Pressure restriction was removed, and the pipeline segment was returned to full service in November 2020. The Order is no longer in effect.
SO-P384-001- 2015	2015-01-15	Plains Midstream Canada ULC	Pipelines in Alberta, Saskatchewan, Manitoba and Ontario	Deficiencies from non-compliant findings associated with Corrective Action Plan from 2010 Audit	Requires corrective actions to address deficiencies  Status 31 March 2021:  All conditions have been assessed by CER staff and a Commission decision regarding the Company actions is expected to be issued in 2021-22 fiscal year.

Order Number	Last Updated	Company	Region	Description/ Reason for Order/ Direction	Resulting Action/Status
AO-001- SO-T217- 03-2010	2020-07-17	Trans- Northern Pipelines Inc	Ontario and Québec	Amending Order issued a result of several overpressure incidents from 2010-2016. Replaced three existing Safety Orders from 2009-2010 including a system wide Safety Order. Imposed 10% pressure restriction in addition to the 20% pressure restriction from the system wide safety order.  Further amended by Order AO-002-SO-T217-003-2010 on 24 October 2016 to reflect revised authorized and related reduced operating pressures previously imposed.  Further amended by Order AO-003-SO-T217-003-2010 on 12 April 2017 to vary conditions 4 and 7 and add condition 8, as well as a new Schedule D for two pipelines	Operate the pipeline sections at reduced pressures-10% as per Schedule A and 30% MOP as per Schedules B and C. Multiple other conditions including requirement for hydraulic analysis, Bronte Creek release remediation, provide annual engineering assessments and watercourse crossing management program.  Status 31 March 2021:  Three conditions remain for approval. The company has not yet requested an increase in pressure and periodic, reoccurring requirements (e.g. engineering assessments) are still on-going. CER staff continue to monitor the company's compliance with the amended Safety Order.
AO-015- SG-N081-001- 2014	2021-02-01	NOVA Gas Transmission Ltd	NGTL System	Leaks and ruptures have occurred on NGTL pipelines that are not able to be internally inspected using automated tools	Pressure restriction on twenty-five (25) unpiggable NGTL pipelines that TransCanada has calculated to have the highest societal risk  Status 31 March 2021:  Three lateral pipelines remain on the Order.

## Appendix G: Post-Approval Compliance

The CER expects that companies identify and mitigate risks before they begin a project. When a risk is identified during the review of an application, the Commission may impose project-specific conditions to reduce risks, prevent harm, promote safety, and protect the environment.

If a project is approved, the CER will oversee the construction and operation of the project through a variety of post-approval matters, that inform safety and environmental oversight. Condition compliance and related documents were received by the CER for 188 different projects in 2020-21. When any of the filings received require a regulatory decision, the matter is brought to the Commission for decision. Approximately half of the total number of documents submitted relate to four large projects, namely the Trans Mountain Expansion Project, the NGTL 2021 Expansion, the Line 3 Replacement Project and the Keystone XL Pipeline.

For the Trans Mountain Expansion Project, over 2500 documents were submitted by the company including 300 documents<sup>13</sup> submitted for condition compliance. The Commission issued 51 Letter Reports directly related to condition compliance, each representing one or more compliance decisions.

The table below outlines the projects for which many post-approval filings were received. A large number of projects are not listed for brevity purposes. The Commission made over 200 post-approval decisions<sup>14</sup> in 2020-21. These decisions vary greatly in complexity, and address condition compliance, some variance and relief requests.

Project Name	Number of Company Documents Filed in Post-Approval Compliance
2013-12-16 - Application for Trans Mountain Expansion Project (OH-001-2014)	2,572
2018-06-20 Application for 2021 NGTL System Expansion Project (GH-003-2018)	236
2018-08-13 - Application for the T-South Expansion and Reliability Project (GHW-002-2018)	229
2014-11-05 - Application for the Line 3 Replacement Program (OH-002-2015)	185
2019-06-12 - Application for Pierceland Supply Project	137
2017-10-19 - Application for the Spruce Ridge Program (GH-001-2018)	129
2013-11-08 Application for North Montney Project (GH-001-2014)	124
2016-12-16 - Application for the Manitoba-Minnesota Transmission Project (EH-001-2017)	109
2009-02-27 - Application for the Keystone XL Pipeline (OH-1-2009)	92
2019-09-30 Application for the construction of Appalaches - Maine Interconnection Power Line Project	89
2020-03-03 Application for the Shaunavon Interconnect Project	56
2018-10-01 Application for Buffalo Creek B3 and Goodfish A2 Compressor Station Unit Additions	56
2020-06-01 - Application for the NGTL West Path Delivery 2022 Project	54
2018-02-12 Application for the construction of the West Path Delivery Project (GH-002-2018)	54

<sup>13</sup> For any given condition, there are often multiple filings received.

<sup>14</sup> The number of decisions identified is limited to condition compliance, some variance, and relief requests. There are other post-approval matters that are not enumerated, including those associated with detailed routing matters, right of entry applications, or Leave to Open applications

# Appendix H: Abandonment Funding

All pipeline companies are required to follow the *Canadian Energy Regulator Onshore Pipeline Regulations*, <sup>15</sup> which include a systematic approach to pipeline management, including abandonment. The Commission adjudicates applications to abandon pipelines (section 241 of the CER Act) and also ensures that companies have sufficient funds to pay for the eventual abandonment of pipelines (section 242 of the CER Act).

Companies' management of financial resources includes the proactive management of their obligations relating to the set aside and collection of abandonment funds. The Commission reviews and assesses companies' abandonment cost estimates, which must be submitted every five years, and ensures that financial instruments are in place for those funds.

Canadians can be confident that the resources required to properly abandon pipelines have been, and continue to be, assessed and set aside for that purpose.

### Companies using Letters of Credit or Surety Bonds

Table H.1 lists all CER-regulated companies that are using a Letter of Credit or Surety Bond to fund their abandonment cost estimate and the amount of each associated financial instrument. The Amount of Instrument column reflects the latest abandonment cost estimates in 2018 dollars.

#### Table H.1

Company	Financial Instrument	Amount of Financial Instrument (in 2018 dollars except where noted)
1057533 Alberta Ltd.	Letter of Credit	855,173
2670568 Ontario Limited	Surety Bond	171,694
6720471 Canada Ltd.	Letter of Credit	45,000
Altagas Holdings Inc. for and on behalf of Altagas Pipeline Partnership	Surety Bond	1,875,849
ARC Resources Ltd.	Letter of Credit	1,893,204
Bonavista Energy Corporation	Letter of Credit	18,185
Caltex Resources Ltd.	Letter of Credit	291,292
Campus Energy Partners	Surety Bond	27,234,710
Canadian Natural Resources Limited	Surety Bond	909,876
Canadian-Montana Pipe Line Company	Surety Bond	300,000
Canlin Energy Corporation	Letter of Credit	101,557
Cenovus Energy Inc.	Letter of Credit	1,845,917
Champion Pipe Line Corporation Limited	Letter of Credit	14,009,422
Cona Resources	Letter of credit	1,320,396
Crescent Point Energy Corp.	Letter of Credit	346,878
Distinction Energy Corporation	Surety Bond	362,000

Company	Financial Instrument	Amount of Financial Instrument (in 2018 dollars except where noted)
Enercapita Energy Ltd.	Letter of Credit	1,527,861
ExxonMobil Canada Properties	Letter of Credit	7,985,252
FortisBC Huntingdon Inc.	Letter of Credit	115,754
Gear Energy Ltd.	Letter of Credit	217,155
Glenogle Energy Inc.	Letter of Credit	80,156
Great Lakes Pipeline Canada Ltd.	Letter of Credit	12,586,000
Husky Oil Operations Limited	Letter of Credit	8,387,654
Imperial Oil Resources Limited	Letter of Credit	1,414,710
ISH Energy Ltd.	Letter of Credit	3,046,923
LBX Pipeline Ltd.	Letter of Credit	3,198,336
Leucrotta Exploration Inc.	Letter of Credit	241,490
Lignite Pipeline Canada Corp.	Surety Bond	1,426,320
NorthRiver Midstream G and P Canada Pipelines Ltd.	Letter of Credit	1,462,274
Obsidian Energy	Letter of Credit	922,150
Omimex Canada, Ltd.	Letter of Credit	132,950
OVINTIV Canada ULC	Surety Bond	2,063,970
OVINTIV Canada ULC	Letter of Credit	11,700,000
Pembina Energy Services Inc.	Letter of Credit	6,004,973
Pembina Prairie Facilities Ltd.	Letter of Credit	31,102,297
Pieridae Alberta Production Ltd.	Letter of Credit	332,477
Pine Cliff Border Pipelines Limited	Letter of Credit	704,000
Pine Cliff Energy Ltd.	Letter of Credit	127,250
Pipestone Energy Corp.	Letter of Credit	11,600
Pouce Coupe Pipe Line Ltd.	Letter of Credit	172,343
Prospera Energy Inc. (Note: 2019 dollars)	Letter of Credit	90,726
Shell Canada Energy	Letter of Credit	4,920,047
Shell Canada Products Limited	Letter of Credit	259,288
Shiha Energy Transmission Ltd.	Letter of Credit	192,026
Steel Reef Pipelines Canada Corp.	Surety Bond	470,613
Sunoco Logistics Partners Operations GP LLC	Surety Bond	1,003,925
Tamarack Acquisition Corp.	Letter of Credit	43,980
TAQA North Ltd.	Letter of Credit	1,450,075
Tidewater Midstream	Letter of Credit	1,857,506
Tundra Oil & Gas Limited for and on behalf of Tundra Oil & Gas Partnership	Letter of Credit	72,812

Company	Financial Instrument	Amount of Financial Instrument (in 2018 dollars except where noted)
Veresen Energy Pipeline Inc.	Letter of Credit	3,326,412
Veresen NGL Pipeline Inc.	Letter of Credit	1,761,889
Vermilion Energy Inc.	Letter of Credit	242,462
Whitecap Resources Inc.	Letter of Credit	1,255,752
Windmill Dream	Letter of Credit	221,568
Winslow Resources	Letter of Credit	54,000
Yoho Resources Inc.	Letter of Credit	50,000

## Companies using Trusts

Table H.2 lists all CER-regulated companies that are using a trust to fund their abandonment cost estimate, each associated abandonment cost estimate and the funds collected as of 31 December 2019.

#### Table H.2

Company	Abandonment Cost Estimate	Collection Period (Years)	2019 Close balance (\$) - Actual
2193914 Canada Limited	6,689,261	35	1,025,000
Alliance Pipeline Ltd.	364,940,000	40	59,576,754
Aurora Pipeline Company Ltd. (Plains)	57,840	40	18,638
Centra Transmission Holdings Inc.	22,226,090	40	5,265,371
Emera Brunswick Pipeline Company Ltd.	12,781,000	20	4,311,000
Enbridge Bakken Pipeline Company Inc., on behalf of Enbridge Bakken Pipeline Limited Partnership	22,300,000	25	2,840,000
Enbridge Pipelines (NW) Inc.	45,000,000	12	13,077,000
Enbridge Pipelines Inc.	1,743,200,000	40	246,082,000
Enbridge Southern Lights GP Inc. on behalf of Enbridge Southern Lights LP	177,900,000	40	18,657,000
Express Pipeline Ltd.	99,300,000	40	7,347,224
Foothills Pipelines Ltd.	244,720,000	30	48,016,000
Genesis Pipeline (Canada) Ltd.	3,114,576	40	1,044,101
PKM Cochin ULC	28,000,000	20	8,808,918
Kinder Morgan Utopia Ltd.	1,104,300	21	222,698
Maritimes & Northeast Pipeline Management Limited	166,800,000	20	68,806,593
Montreal Pipe Line Limited	19,873,239	40	3,885,955
Niagara Gas Transmission Limited	6,871,346	35	1,041,000
NOVA Gas Transmission Ltd.	2,535,333,000	30	527,863,000
Plains Midstream Canada ULC	50,347,731	40	12,507,307
Pouce Coupé Pipe Line Ltd.	7,597,783	15	5,545,195
Souris Valley Pipeline Limited	3,309,572	FF	3,647,138

Company	Abandonment Cost Estimate	Collection Period (Years)	2019 Close balance (\$) - Actual
St. Clair Pipelines Management Inc.	1,359,792	35	238,189
TEML Westspur Pipelines Limited	51,931,666	25	9,751,000
Trans Mountain Pipeline Inc.	367,820,000	35	73,246,204
Trans Québec & Maritimes Pipeline (TQM) Inc.	115,500,000	25	28,609,000
TransCanada Keystone Pipeline GP Ltd.	268,100,000	25	64,285,000
TransCanada Pipelines Limited	2,904,930,000	25	816,406,000
Trans-Northern Pipelines Inc.	87,020,000	40	15,238,998
Union Gas Limited	103,187	FF	107,533
Vector Pipeline Limited Partnership	8,500,000	35	860,000
Westcoast Energy Inc.	809,700,000	40	83,157,264

FF = fully funded

# Appendix I: Financial Resources Requirements

The CER enforces the polluter pays principle, requiring all necessary measures to be taken to make the pipeline safe, clean up the spill and remediate the environment in the event of a spill or incident.

In June 2018, the <u>Pipeline Financial Requirements Regulations</u> (PFRRs)<sup>16</sup> were passed, with most of the associated requirements coming into force as of 11 July 2019. The PFRRs set out absolute liability limits for CER-regulated companies and require all companies to maintain financial resources equal to their respective absolute liability limits, or a greater amount if determined by the CER. These absolute liability limits range from \$200 million to \$1 billion for oil pipelines, \$10 million to \$200 million for gas pipelines, and \$5 to \$10 million for other commodity pipelines, depending on factors including pipeline capacity, diameter, and commodity transported.

The PFRRs prescribes a list of financial resource types, and a list of readily accessible types, from which the Commission may choose to direct companies to maintain. These financial resource types prescribed by the PFRRs as follows:

- Insurance policy;
- Escrow agreement;
- Letter of credit:
- Line of credit:
- Participation in a pooled fund, as referred to in subsection 139(1) of the CER Act;
- Parent company guarantees;
- Surety bond or pledge agreement, or indemnity bond or suretyship agreement; and,
- Cash or cash equivalents.

The Regulations also state that only authorized in-service pipelines will be considered in the determination of a company's absolute liability class. Therefore, those pipelines that are not in service are exempt from filing an absolute liability limit and financial resources plan at this time.

In order to ensure compliance to the PFRRs, the CER developed filing guidance, and required all companies to file financial resources plans for evaluation. These plans set out the financial resources each company has available to respond to spills or incidents, and demonstrate how companies meet the financial resource requirements in the CER Act and Regulations. If the plans are insufficient, the Commission has the authority to order companies to maintain additional financial resources.

### CER Regulated Companies Financial Resource Plan Evaluation Status:

Company	Class	Absolute Liability Limit (A.L.L.)	Status of Financial Resource Plan Filing
1057533 Alberta Ltd.	Oil Class 3	\$200 Million	Conditionally approved
2133151 Alberta Ltd.	Gas Class 1	\$200 Million	Under review
2193914 Canada Limited	Gas Class 1	\$200 Million	Approved
6720471 Canada Ltd.	Gas Class 4	\$10 Million	Conditionally approved
Alliance Pipeline Ltd.	Gas Class 1	\$200 Million	Approved
Altagas Holdings Inc.	Gas Class 2	\$50 Million	Approved
ARC Resources Ltd.	Gas Class 2	\$50 Million	Approved
Aurora Pipeline Company Ltd.	Oil Class 3	\$50 Million	Conditionally approved
Bellatrix Exploration Ltd.	Exempt from requirer	ments at this time	
Bonavista Energy Corp.	Exempt from requirements at this time		
Bow River Energy Limited	Exempt from requirer	ments at this time	
Caltex Resources Ltd.	Gas Class 3	\$50 Million	Approved
Canada Border Services Agency	Gas Class 4	\$10 Million	Approved
Canadian Montana Pipeline Ltd.	Gas Class 2	\$50 Million	Approved
Canadian Natural Resources Ltd.	Oil Class 2	\$300 Million	Approved
Canlin Energy Corporation	Exempt from requirer	ments at this time	
Cenovus Energy Inc.	Gas Class 1	\$200 Million	Approved
Centra Transmission Holdings Inc.	Gas Class 2	\$50 Million	Conditionally approved
Champion Pipe Line Corporation Limited	Gas Class 2	\$50 Million	Conditionally approved
Chief Mountain Gas Co-op Ltd.	Gas Class 4	\$10 Million	Under review
Commandité gestion energy Windmill DREAM Québec inc.	Exempt from requirements at this time		
County of VerMillion River No. 24 Gas Utility	Gas Class 4	\$10 Million	Under review
Crescent Point Energy Corp.	Gas Class 3	\$50 Million	Approved
Delphi Energy Corp.	Exempt from requirements at this time		
Emera Brunswick Pipeline Company Ltd.	Gas Class 1	\$200 Million	Approved
Enbridge Bakken Pipeline Company Inc.	Oil Class 2	\$300 Million	Approved
Enbridge Gas Inc.	Gas Class 2	\$50 Million	Approved
Enbridge Pipelines (NW) Inc.	Oil Class 3	\$200 Million	Approved
Enbridge Pipelines Inc.	Oil Class 1	\$1 Billion	Approved
Enbridge Southern Lights GP Inc. on behalf of Enbridge Southern Lights LP	Oil Class 2	\$300 Million	Approved
Enercapita Energy Ltd.	Gas Class 2	\$50 Million	Approved
Express Pipeline Ltd.	Oil Class 1	\$1 Billion	Approved
ExxonMobil Canada Ltd.	Exempt from requirements at this time		
Foothills Pipe Line Ltd.	Gas Class 1	\$200 Million	Approved
FortisBC Huntingdon Inc.	Gas Class 1	\$200 Million	Under review

Company	Class	Absolute Liability Limit (A.L.L.)	Status of Financial Resource Plan Filing	
Forty Mile Gas Co-op	Exempt from requirements at this time			
Gear Energy Ltd.	Have not filed – in non-compliance			
Genesis Pipeline Canada Ltd.	Oil Class 2	\$300 Million	Under review	
Glencoe Resources Ltd.	Exempt from requirements at this time			
Glenogle Energy Inc.	Gas Class 2	\$50 Million	Under review	
Great Lakes Pipeline Canada Ltd.	Gas Class 1	\$200 Million	Approved	
Husky Oil Operations Limited	Oil Class 2	\$300 Million	Approved	
ISH Energy Ltd.	Oil Class 3	\$200 Million	Conditionally Approved	
Kinder Morgan Cochin ULC	Oil Class 2	\$300 Million	Approved	
Kinder Morgan Utopia Ltd.	Oil Class 2	\$300 Million	Approved	
Kingston Midstream Westspur Limited	Oil Class 2	\$300 Million	Conditionally approved	
LBX Pipelines Ltd.	Oil Class 2	\$300 Million	Under review	
Leucrotta Exploration Inc.	Exempt from require	rements at this time		
Lignite Pipeline Canada Corp	Exempt from require	Exempt from requirements at this time		
Many Islands Pipe Lines (Canada) Ltd.	Gas Class 1	\$200 Million	Approved	
Maritimes & Northeast Pipeline Management Limited	Gas Class 1	\$200 Million	Approved	
Minell Pipeline Ltd.	Gas Class 2	\$50 Million	Approved	
Montreal Pipe Line Limited	Oil Class 2	\$300 Million	Approved	
Niagara Gas Transmission Limited	Gas Class 1	\$200 Million	Approved	
Northriver Midstream Canada Pipelines Inc.	Gas Class 2	\$50 Million	Under review	
Northriver Midstream G and P Canada Pipelines Inc.	Gas Class 1	\$200 Million	Under review	
NOVA Gas Transmission Ltd.	Gas Class 1	\$200 Million	Approved	
Obsidian Energy Inc.	Gas Class 2	\$50 Million	Approved	
Omimex Canada Ltd.	Exempt from require	om requirements at this time		
Ovintiv Canada ULC	Gas Class 2	\$50 Million	Approved	
Pieridae Alberta Production Ltd.	Gas Class 2	\$50 Million	Under review	
Pipestone Energy Corp.	Exempt from requirements at this time			
Pembina Energy Services Ltd.	Gas Class 2	\$50 Million	Approved	
Pembina Prairie Facilities Ltd.	Gas Class 2	\$50 Million	Approved	
Pine Cliff Energy Ltd. & Pine Cliff Border Pipelines Ltd.	Gas Class 2	\$50 Million	Under review	
Plains Midstream Canada ULC	Oil Class 1	\$1 Billion	Approved	
Portal Municipal Gas Company Canada Inc. c/o SaskEnergy	Gas Class 4	\$10 Million	Granted extension to file until 1 June 2021	
Pouce Coupe Pipe Line Ltd.	Oil Class 2	\$300 Million	Approved	
Resolute FP Ltd.	Commodity Class 1	\$10 Million	Approved	
SCL Pipeline Inc.	Gas Class 2	\$50 Million	Approved	

Company	Class	Absolute Liability Limit (A.L.L.)	Status of Financial Resource Plan Filing	
Shiha Energy Transmission Ltd.	Exempt from requirements at this time			
Souris Valley Pipeline Limited	CO <sub>2</sub> or Water Class	\$5 Million	Approved	
St. Clair Management Inc.	Gas Class 1	\$200 Million	Approved	
Steel Reef Pipelines Canada Corp.	Gas Class 2	\$50 Million	Approved	
Strategic Oil & Gas (Strategic Transmission)	Exempt from require	ments at this time		
Sunoco Pipeline LP	Gas Class 2	\$50 Million	Conditionally approved	
Tamarack Acquisition Corp.	Exempt from require	Exempt from requirements at this time		
TAQA North Ltd.	Gas Class 2	\$50 Million	Approved	
Tidewater Midstream & Infrastructure Ltd.	Gas Class 4	\$10 Million	Under review	
Trans Canada Pipelines Limited	Gas Class 1	\$200 Million	Approved	
Trans Mountain Pipeline ULC	Oil Class 1	\$1 Billion	Approved	
Trans Québec & Maritimes Pipeline Inc.	Gas Class 1	\$200 Million	Approved	
TransCanada Keystone Pipeline GP Ltd. (Keystone)	Oil Class 1	\$1 Billion	Approved	
Trans-Northern Pipelines Inc.	Oil Class 2	\$300 Million	Under review	
Tundra Oil & Gas Limited	Oil Class 3	\$200 Million	Under review	
Twin Rivers Paper Company Inc.	Commodity Class 1	\$10 Million	Under review	
Vector Pipeline Limited	Gas Class 1	\$200 Million	Approved	
Veresen Energy Pipeline Inc.	Gas Class 1	\$200 Million	Under review	
Veresen NGL Pipeline Inc.	Gas Class 2	\$50 Million	Approved	
VerMillion Energy Inc.	Exempt from requirements at this time			
Westcoast Energy Inc.	Gas Class 1	\$200 Million	Approved	
Westover Express Pipeline Ltd.	Oil Class 2	\$300 Million	Conditionally approved	
Whitecap Resources Inc.	Oil Class 2	\$300 Million	Approved	
Yoho Resources Inc./SanLing Energy Ltd.	Exempt from requirements at this time			

# Appendix J: Acronyms and Definitions

#### **CER**

Canada Energy Regulator

#### **CER Act**

Canadian Energy Regulator Act

#### **NEB**

National Energy Board

#### **Core Responsibility**

An enduring function or role performed by a department. The intentions of the department with respect to a Core Responsibility are reflected in one or more related Departmental Results that the department seeks to contribute to or influence.

#### **Departmental Results Framework**

The Departmental Results Framework is a requirement of the 2016 Treasury Board Policy on Results, which supports a culture of measurement, evaluation and innovation in program and policy design and delivery. It is a key tool for tracking and communicating the department's results and financial information to parliamentarians and the public. It contains a clear and concise overview of what the organization does (core responsibilities), what it is trying to influence (departmental results) and how it will assess progress (departmental result indicators).

For more information on the CER's Departmental Results Framework refer to the CER's website. 17

#### **Governor In Council**

A Governor in Council (GIC) appointment is one made by the Governor General, on the advice of the Queen's Privy Council of Canada (i.e., the Cabinet). The appointments are made through an Order in Council (OIC) and range from heads of agencies and chief executive officers of Crown corporations to members of quasi-judicial tribunals.

#### **Parliament**

The Parliament of Canada is the federal legislature of Canada, seated at Parliament Hill in Ottawa, and is composed of three parts: the Monarch, the Senate, and the House of Commons.

#### **Quasi-Judicial Tribunal**

The Commission of the CER is a quasi-judicial tribunal, meaning that it is an administrative tribunal with all the powers, rights, and privileges of a superior court of record with respect to matters within its jurisdiction. It is obliged to deal with applications and proceedings before it expeditiously and respecting the principles of procedural fairness and natural justice.

#### **REGDOCS**

REGDOCS is the collection of publically accessible documents that are filed onto the legal record for any CER hearings or other written regulatory proceedings.

<sup>17</sup> https://www.cer-rec.gc.ca/en/about/who-we-are-what-we-do/governance/departmental-results-framework/index.html

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